

List of Securities Eligible for Reduced Margin

[effective from September 11, 2015 until replaced by a subsequent list]

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|-------------------------------------|----------|----------------------|----------|
| TORONTO STOCK EXCHANGE (TSX) | | ALACER GOLD CORP. J | ASR |
| 1ST AST US&CDALIFECO | FLI | ALAMOS GOLD INC. J | AGI |
| 1STAST US&CDALFEAD | FLI.A | ALARIS ROYALTY CORP. | AD |
| FIRST NAT'L FIN CORP | FN | ALARMFORCE IND J | AF |
| 1ST NATL FIN SR 1 PR | FN.PR.A | ALGONQUIN PWR & UTIL | AQN |
| 1STASST MORNMMOMNT UN | WXM | ALGONQUIN PWR A PR | AQN.PR.A |
| 1STASST MORNMMOMNTAD | WXM.A | ALGONQUIN PWR D PR | AQN.PR.D |
| 1STAST MORNCDAVAL UN | FXM | ALIMENTATION CL A MV | ATD.A |
| 1STAST MORNCDAVAL AD | FXM.A | ALIMENTATION CL B SV | ATD.B |
| 1STASTMRNSTRUSVAL UN | XXM | ALLIED PROP. REIT UN | AP.UN |
| 1STASTMRNSTRUSVAL AD | XXM.A | ALTAGAS LTD. | ALA |
| 1STAST MRNUS VALUNHG | XXM.B | ALTAGAS LTD SR A PR | ALA.PR.A |
| 1STASTMRNUSVALUNHGAD | XXM.D | ALTAGAS LTD SR E PR | ALA.PR.E |
| A&W REVENUE RYLTY UN | AW.UN | ALTAGAS LTD SR G PR | ALA.PR.G |
| ABERDEEN ASIA-PACIFC | FAP | ALTAGAS LTD C PR USF | ALA.PR.U |
| ABSOLUTE SOFTWARE J | ABT | ALTIUS MINERALS CORP | ALS |
| ACADIAN TIMBER CORP. | ADN | ALTUS GROUP LIMITED | AIF |
| ACTIVENERGY INCM UN | AEU.UN | AMAYA INC. J | AYA |
| ADVANTAGE OIL & GAS | AAV | AMERICAN HOTL LP UN | HOT.UN |
| AECON GROUP INC. | ARE | AMERICN CORESECDIVUN | ACZ.UN |
| AFRICA OIL CORP. J | AOI | AMICA MATURE LFSTYLE | ACC |
| AG GROWTH INT'L INC. | AFN | APTOSE BIOSCIENCES | APS |
| AGELLAN COMMERCL UN | ACR.UN | ARC RESOURCES LTD. | ARX |
| AGF MANAGEMENT B NV | AGF.B | ARSENAL ENERGY INC J | AEI |
| AGNICO EAGLE MINES | AEM | ARTIS REIT UN | AX.UN |
| AGRIUM INC. | AGU | ARTIS REIT SER A PR | AX.PR.A |
| AGT FOOD&INGREDIENTS | AGT | ARTIS REIT SER E PR | AX.PR.E |
| AIMIA INC. | AIM | ARTIS REIT SER G PR | AX.PR.G |
| AIMIA INC. SER 1 PR | AIM.PR.A | ARTIS REIT PR C US | AX.PR.U |
| AIMIA INC. SER 2 PR | AIM.PR.B | ASANKO GOLD INC. J | AKG |
| AIMIA INC. SER 3 PR | AIM.PR.C | ASTONHILL VIP INCMUN | VIP.UN |
| AIR CANADA VOTE & VV | AC | ATCO LTD. CL I NV | ACO.X |
| AIRBOSS OF AMERICA J | BOS | ATCO LTD. CL II | ACO.Y |

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|----------------------|----------|----------------------|----------|
| ATHABASCA OIL CORP J | ATH | BCE INC. PR SER AF | BCE.PR.F |
| ATLANTIC POWER | ATP | BCE INC. PR SER AG | BCE.PR.G |
| ATRIUM MTG INVEST | AI | BCE INC. PR SER AH | BCE.PR.H |
| ATS AUTOMATION TOOL | ATA | BCE INC. PR SER AI | BCE.PR.I |
| AURINIA PHARMA INC J | AUP | BCE INC. PR SER AJ | BCE.PR.J |
| AUTOCANADA INC. | ACQ | BCE INC. PR SER AK | BCE.PR.K |
| AVIGILON CORPORATION | AVO | BCE INC. PR SER AM | BCE.PR.M |
| AXIA NETMEDIA CORP J | AXX | BCE INC. PR SER AO | BCE.PR.O |
| BADGER DAYLIGHTING | BAD | BCE INC. PR SER AQ | BCE.PR.Q |
| BALLARD POWER SYS | BLD | BCE INC. PR SER R | BCE.PR.R |
| BANK OF NOVA SCOTIA | BNS | BCE INC. PR SER S | BCE.PR.S |
| BANK OF N S SR 19 PR | BNS.PR.A | BCE INC. PR SER T | BCE.PR.T |
| BANK OF N S SR 21 PR | BNS.PR.B | BCE INC. PR SERIES Y | BCE.PR.Y |
| BANK OF N S SR 23 PR | BNS.PR.C | BCE INC. PR SERIES Z | BCE.PR.Z |
| BANK OF N S SR 31 PR | BNS.PR.D | BELLATRIX EXPL LTD. | BXE |
| BANK OF N S SR 14 PR | BNS.PR.L | BIRCHCLIFF ENERGY | BIR |
| BANK OF N S SR 15 PR | BNS.PR.M | BIRCHCLIFF ENGY PR A | BIR.PR.A |
| BANK OF N S SR 16 PR | BNS.PR.N | BIRCHCLIFF ENGY PR C | BIR.PR.C |
| BANK OF N S SR 17 PR | BNS.PR.O | BIRD CONSTRUCTION | BDT |
| BANK OF N S SR 18 PR | BNS.PR.P | BANK OF MONTREAL | BMO |
| BANK OF N S SR 20 PR | BNS.PR.Q | BK OF MON PR SER 14 | BMO.PR.K |
| BANK OF N S SR 22 PR | BNS.PR.R | BK OF MON PR SER 15 | BMO.PR.L |
| BANK OF N S SR 30 PR | BNS.PR.Y | BK OF MON PR SER 16 | BMO.PR.M |
| BANK OF N S SR 32 PR | BNS.PR.Z | BK OF MON PR SER 25 | BMO.PR.Q |
| BANKERS PETROLEUM J | BNK | BK OF MON PR SER 17 | BMO.PR.R |
| BARRICK GOLD CORP | ABX | BK OF MON PR SER 27 | BMO.PR.S |
| BAYTEX ENERGY CORP. | BTE | BK OF MON PR SER 29 | BMO.PR.T |
| BCE INC. | BCE | BK OF MON PR SER 31 | BMO.PR.W |
| BCE INC. PR SER AA | BCE.PR.A | BK OF MON PR SER 33 | BMO.PR.Y |
| BCE INC. PR SER AB | BCE.PR.B | BLACK DIAMOND GROUP | BDI |
| BCE INC. PR SER AC | BCE.PR.C | BLACKBERRY LIMITED | BB |
| BCE INC. PR SER AD | BCE.PR.D | BLUE RIBBON INCM UN | RBN.UN |
| BCE INC. PR SER AE | BCE.PR.E | BMO AGGREGATEBND ETF | ZAG |

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| BMO CDN DIVIDEND UN | ZDV | BMO VOLATLTY CAD UN | ZLB |
| BMO COVERD CALLCDNBK | ZWB | BOARDWALK REIT UN | BEI.UN |
| BMO COVERDUTILITY UN | ZWU | BOMBARDIER CL A MV | BBD.A |
| BMO DJ INDAV HEG ETF | ZDJ | BOMBARDIER CL B SV | BBD.B |
| BMO DJ INDUSTAVG UN | ZWA | BOMBARDIER SER 2 PR | BBD.PR.B |
| BMO EQ WGT US BANKS | ZUB | BOMBARDIER 6.25% PR | BBD.PR.C |
| BMO EQL WGT BANK ETF | ZEB | BOMBARDIER SER 3 PR | BBD.PR.D |
| BMO EQL WGT REITS UN | ZRE | BONAVISTA ENERGY | BNP |
| BMO EQL WGT UTIL ETF | ZUT | BONTERRA ENERGY CORP | BNE |
| BMO EQLWGHT US BKCAD | ZBK | BORALEX INC. CL A | BLX |
| BMO EQWGT OIL&GASET | ZEO | BOSTON PIZZA FND UN | BPF.UN |
| BMO EQWGT US HEALTH | ZUH | BOULDER ENERGY LTD | BXO |
| BMO GBL INFRASTR ETF | ZGI | BOYD GROUP FND TR UN | BYD.UN |
| BMO HY US CRPHDG ETF | ZHY | BRKFLD GLBINFRAS SEC | BGI.UN |
| BMO INDIA EQ IDX ETF | ZID | BROOKFIELD INFRAS UN | BIP.UN |
| BMO LWVLTUUSEQ CADUN | ZLU | BROOKFIELD INFRAS PR | BIP.PR.A |
| BMO LWVLTUUSEQ US UN | ZLU.U | BROOKFIELD RENEW UN | BEP.UN |
| BMO MID CRP BOND ETF | ZCM | BROOKFLD ASSET A LV | BAM.A |
| BMO MIDUSCRPBNDCA UN | ZIC | BROOKFLD ASSET PR 32 | BAM.PF.A |
| BMO MIDUS CRPBND USF | ZIC.U | BROOKFLD ASSET PR 34 | BAM.PF.B |
| BMO MSCI EAFE HEGCAD | ZDM | BROOKFLD ASSET PR 2 | BAM.PR.B |
| BMO MSCI EU HIQLTYUN | ZEQ | BROOKFLD ASSET PR 36 | BAM.PF.C |
| BMO S&P 500 HEDG-CAD | ZUE | BROOKFLD ASSET PR 4 | BAM.PR.C |
| BMO S&P/TSX COMPINDX | ZCN | BROOKFLD ASSET PR 37 | BAM.PF.D |
| BMO S&P/TSX LADPR UN | ZPR | BROOKFLD ASSET PR 38 | BAM.PF.E |
| BMO S&P500 IDXCAD UN | ZSP | BROOKFLD ASSET PR 8 | BAM.PR.E |
| BMO S&P500 IDX US UN | ZSP.U | BROOKFLD ASSET PR 40 | BAM.PF.F |
| BMO SHRT CRP BND ETF | ZCS | BROOKFLD ASSET PR 42 | BAM.PF.G |
| BMO US HIGHDIVCOV UN | ZWH | BROOKFLD ASSET PR 9 | BAM.PR.G |
| BMO US HIGHDIVCOV US | ZWH.U | BROOKFLD ASSET PR 13 | BAM.PR.K |
| BMO USDIV ETF CAD UN | ZDY | BROOKFLD ASSET PR 14 | BAM.PR.L |
| BMO USDIV ETF USD UN | ZDY.U | BROOKFLD ASSET PR 17 | BAM.PR.M |
| BMO USDIV HDG-CAD UN | ZUD | BROOKFLD ASSET PR 18 | BAM.PR.N |

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| BROOKFLD ASSET PR 24 | BAM.PR.R | CANYON SERVICES GRP | FRC |
| BROOKFLD ASSET PR 26 | BAM.PR.T | CAPITAL POWER CORP. | CPX |
| BROOKFLD ASSET PR 28 | BAM.PR.X | CAPITAL PWR SER 1 PR | CPX.PR.A |
| BROOKFLD ASSET PR 30 | BAM.PR.Z | CAPITAL PWR SER 3 PR | CPX.PR.C |
| BROOKFLD CDA OFFICE | BOX.UN | CAPITAL PWR SER 5 PR | CPX.PR.E |
| BROOKFLD OFFICE PR P | BPO.PR.P | CAPSTONE INFRAS CORP | CSE |
| BROOKFLD OFFICE PR R | BPO.PR.R | CAPSTONE INFR PR A | CSE.PR.A |
| BROOKFLD OFFICE PR T | BPO.PR.T | CARDINAL ENERGY J | CJ |
| BROOKFLD OFFICE PRAA | BPO.PR.A | CARDIOME PHARMA CORP | COM |
| BROOKFLD PPTY LP UN | BPY.UN | CARGOJET INC. | CJT |
| BROOKFLD SELCT OPPOR | BSO.UN | CARGOJET INC. VV | CJT.A |
| BRP INC. SV | DOO | CARMANAH TECH CORP. | CMH |
| BTB REIT UN | BTB.UN | CASCADES INC. | CAS |
| BURCON NUTRASCI J | BU | CATHEDRAL ENGY SERV | CET |
| CAE INC. | CAE | CCL INDUSTRIES CL A | CCL.A |
| CALFRAC WELL SERV | CFW | CCL INDUSTRIES CL B | CCL.B |
| CALLIDUS CAP CORP | CBL | CDN APARTMENT TR UN | CAR.UN |
| CAMECO CORPORATION | CCO | CDN BANC CORP. PR | BK.PR.A |
| CAN-60 INCOME EQ NV | CSY | CDN CONVERT PLUS UN | CCI.UN |
| CANACCORD GENUITY | CF | CDN ENERGY SERV&TECH | CEU |
| CANACCORD GEN A PR | CF.PR.A | CDN IMPERIAL BANK | CM |
| CANACCORD GEN C PR | CF.PR.C | CDN IMP BK SER 39 PR | CM.PR.O |
| CANACOL ENERGY J | CNE | CDN IMP BK SER 41 PR | CM.PR.P |
| CANADIAN NATURAL RES | CNQ | CDN IMP BK SER 43 PR | CM.PR.Q |
| CANADIAN TIRE CORP | CTC | CDN LIFE CO SPLIT A | LFE |
| CANADIAN TIRE A NV | CTC.A | CDN LIFE COSPLT PR12 | LFE.PR.B |
| CANAM GROUP INC. | CAM | CDN NAT'L RAILWAY | CNR |
| CANELSON DRILLING | CDI | CDN OIL SANDS LTD. | COS |
| CANFOR CORPORATION | CFP | CDN PACIFIC RAILWAY | CP |
| CANFOR PULP PRODUCTS | CFX | CDN REAL ESTATE UN | REF.UN |
| CANOE EIT INCOME UN | EIT.UN | CDN UTILITIES A NV | CU |
| CANSO CREDIT A UN | PBY.UN | CDN UTILITIES CL B | CU.X |
| CANWEL BUILDING GRP | CWX | CDN UTIL 2ND PR Y | CU.PR.C |

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| CDN UTIL 2NDSR AA PR | CU.PR.D | CONCORDIA HLTHCARE J | CXR |
| CDN UTIL 2ND PR BB | CU.PR.E | CONIFEX TIMBER INC. | CFE |
| CDN UTIL 2NDSR CC PR | CU.PR.F | CONSTELLATION SOFTWR | CSU |
| CDN UTIL 2NDSR DD PR | CU.PR.G | CONTINENTAL GOLD J | CNL |
| CDN WSTRN BANK | CWB | CONVERTIBLE DBINCMUN | OCV.UN |
| CDN WSTRN BK SR 5 PR | CWB.PR.B | CORUS ENTRTMNT B | CJR.B |
| CELESTICA INC SV | CLS | COTT CORPORATION | BCB |
| CENOVUS ENERGY INC. | CVE | CRESCENT POINT CORP. | CPG |
| CENTERRA GOLD INC. | CG | CREW ENERGY INC. | CR |
| CENTRAL FUND CL A NV | CEF.A | CRH MEDICAL CORP. J | CRH |
| CENTRAL FUND A NV US | CEF.U | CRIUS ENERGY TR UN | KWH.UN |
| CENTRAL GOLDTRUST UN | GTU.UN | CROMBIE REAL EST UN | CRR.UN |
| CENTRAL GOLDTR US UN | GTU.U | CT RL EST INVEST UN | CRT.UN |
| CERVUS EQUIPMENT | CVL | CURRENCY EXCH INTL J | CXI |
| CGI GROUP CL A SV | GIB.A | CYNAPSUS THERPEUTC J | CTH |
| CHARTWELL RETIRE UN | CSH.UN | DESCARTES SYSTEMS | DSG |
| CHEMTRADE LOGISTC UN | CHE.UN | DETOUR GOLD CORP J | DGC |
| CHESSWOOD GROUP LTD. | CHW | DH CORPORATION | DH |
| CHINA GLD INTL RES J | CGG | DHX MEDIA LTD. VV | DHX.A |
| CHOICE PPTY REIT UN | CHP.UN | DHX MEDIA LTD. | DHX.B |
| CHORUS AVIATION A VV | CHR.A | DIRECTCASH PAYMENTS | DCI |
| CHORUS AVIATION B V | CHR.B | DIRTT ENV SOLUTION J | DRT |
| CI FINANCIAL CORP. | CIX | DIV GROWTH SPLT CL A | DGS |
| CINEPLEX INC. | CGX | DIV GROWTH SPLIT PR | DGS.PR.A |
| CIPHER PHARMA J | CPH | DIVERS RYLTY CORP. J | DIV |
| CITADEL INCM FUND UN | CTF.UN | DIVIDEND 15 SPLT A | DFN |
| CLARKE INC. | CKI | DIVIDEND 15 SPLT PR | DFN.PR.A |
| CLEARWATER SEAFOODS | CLR | DIVIDEND 15SPLIT 2 A | DF |
| COGECO CABLE INC SV | CCA | DIVIDEND 15SPLIT 2 PR | DF.PR.A |
| COLLIERS INTL GRP SV | CIG | DOLLARAMA INC. | DOL |
| COM DEV INT'L LTD. | CDV | DOMINION DIAMOND | DDC |
| COMINAR REAL EST UN | CUF.UN | DOMTAR CORPORATION | UFS |
| COMPUTER MODELLING J | CMG | DOREL IND INC A MV | DII.A |

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| DOREL IND INC B SV | DII.B | ENBRIDGE SER 13 PR | ENB.PF.E |
| DREAM GLOBAL REIT UN | DRG.UN | ENBRIDGE INC SR F PR | ENB.PR.F |
| DREAM HRD ASETALT UN | DRA.UN | ENBRIDGE SER 15 PR | ENB.PF.G |
| DREAM INDUSTRL REIT | DIR.UN | ENBRIDGE INC SR H PR | ENB.PR.H |
| DREAM OFFICE REIT UN | D.UN | ENBRIDGE SER 7 PR | ENB.PR.J |
| DREAM UNLTD CL A SV | DRM | ENBRIDGE INC SR N PR | ENB.PR.N |
| DREAM UNLTD 1ST PR | DRM.PR.A | ENBRIDGE INC SR P PR | ENB.PR.P |
| DUNDEE CORP. CL A SV | DC.A | ENBRIDGE INC SR R PR | ENB.PR.T |
| DUNDEE CORP. PR 2 | DC.PR.B | ENBRIDGE SER L US PR | ENB.PF.U |
| DUNDEE CORP 1ST PR 4 | DC.PR.C | ENBRIDGE SR J US PR | ENB.PR.U |
| DUNDEE CORP 1ST PR 3 | DC.PR.D | ENBRIDGE SER 5 US PR | ENB.PF.V |
| DUNDEE PRECIOUS MTL | DPM | ENBRIDGE SER 1 US PR | ENB.PR.V |
| EAGLE ENERGY TR UN J | EGL.UN | ENBRIDGE SER 3 PR | ENB.PR.Y |
| EASYHOME LTD. | EH | ENBRIDGE INCM HLDGS | ENF |
| ECHELON FIN HLDGS | EFH | ENCANA CORPORATION | ECA |
| ELDORADO GOLD CORP. | ELD | ENDEAVOUR SILVER J | EDR |
| ELEMENT FIN CORP. | EFN | ENDO INT'L PLC ORD | ENL |
| ELEMENT FIN PR A | EFN.PR.A | ENERCARE INC. | ECI |
| ELEMENT FIN PR C | EFN.PR.C | ENERFLEX LTD. | EFX |
| ELEMENT FIN PR E | EFN.PR.E | ENERPLUS CORPORATION | ERF |
| ELEMENT FIN PR G | EFN.PR.G | ENGHOUSE SYSTEMS LTD | ESL |
| EMERA INCORPORATED | EMA | ENSIGN ENGY SERVICES | ESI |
| EMERA INC. SER A PR | EMA.PR.A | EPSILON ENERGY LTD J | EPS |
| EMERA INC. SER C PR | EMA.PR.C | EQUITABLE GROUP INC. | EQB |
| EMERA INC. SER E PR | EMA.PR.E | EQUITABLE GRP PR 3 | EQB.PR.C |
| EMERA INC. SER F PR | EMA.PR.F | ESPIAL GROUP INC. J | ESP |
| EMPIRE CO CL A NV | EMP.A | EVERTZ TECHNOLOGIES | ET |
| ENBRIDGE INC. | ENB | EXCHANGE INCOME CORP | EIF |
| ENBRIDGE SER 9 PR | ENB.PF.A | EXCO TECHNOLOGIES | XTC |
| ENBRIDGE 5.50% PR | ENB.PR.A | EXFO INC. SV | EXF |
| ENBRIDGE INC SR B PR | ENB.PR.B | EXTENDICARE INC. | EXE |
| ENBRIDGE SER 11 PR | ENB.PF.C | FAIRFAX FINANCIAL SV | FFH |
| ENBRIDGE INC SR D PR | ENB.PR.D | FAIRFAX FIN SV USF | FFH.U |

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| FAIRFAX FIN SER C PR | FFH.PR.C | GIBSON ENERGY INC | GEI |
| FAIRFAX FIN SER D PR | FFH.PR.D | GILDAN ACTIVEWEAR | GIL |
| FAIRFAX FIN SER E PR | FFH.PR.E | GLBL DIV GROWERS UN | GDG.UN |
| FAIRFAX FIN SER F PR | FFH.PR.F | GLBL HEALTHCAREVDVFD | GHC.UN |
| FAIRFAX FIN SER G PR | FFH.PR.G | GLOBAL INFRAS DIV UN | GIF.UN |
| FAIRFAX FIN SER I PR | FFH.PR.I | GLUSKIN SHEFF + ASSC | GS |
| FAIRFAX FIN SER K PR | FFH.PR.K | GMP CAPITAL INC. | GMP |
| FAIRFAX FIN SER M PR | FFH.PR.M | GMP CAPITAL SER B PR | GMP.PR.B |
| FIERA CAPITAL A SV | FSZ | GOLDCORP INC. | G |
| FINANCIAL 15 SPLT A | FTN | GOLDMAN SACHS US UN | GSB.UN |
| FINANCIAL 15 SPLT PR | FTN.PR.A | GRAN TIERRA ENERGY J | GTE |
| FINNING INT'L INC. | FTT | GRANITE RL EST TR UN | GRT.UN |
| FIRM CAP MTG INVEST | FC | GREAT CDN GAMING | GC |
| FIRST CAPITAL REALTY | FCR | GREAT-WEST LIFECO | GWO |
| FIRST MAJESTIC J | FR | GT-WEST LIFECO PR F | GWO.PR.F |
| FIRST QUANTUM MNRL J | FM | GT-WEST LIFECO PR G | GWO.PR.G |
| FIRSTSERVICE CORP SV | FSV | GT-WEST LIFECO PR H | GWO.PR.H |
| FORTIS INC. | FTS | GT-WEST LIFECO PR I | GWO.PR.I |
| FORTIS INC. 1ST PR E | FTS.PR.E | GT-WEST LIFECO PR L | GWO.PR.L |
| FORTIS INC. 1ST PR F | FTS.PR.F | GT-WEST LIFECO PR M | GWO.PR.M |
| FORTIS INC. 1ST PR G | FTS.PR.G | GT-WEST LIFECO PR N | GWO.PR.N |
| FORTIS INC. 1ST PR H | FTS.PR.H | GT-WEST LIFECO PR P | GWO.PR.P |
| FORTIS INC.1ST PR I | FTS.PR.I | GT-WEST LIFECO PR Q | GWO.PR.Q |
| FORTIS INC. 1ST PR J | FTS.PR.J | GT-WEST LIFECO PR R | GWO.PR.R |
| FORTIS INC. 1ST PR K | FTS.PR.K | GT-WEST LIFECO PR S | GWO.PR.S |
| FORTIS INC. 1ST PR M | FTS.PR.M | GUYANA GOLDFIELDS J | GUY |
| FORTUNA SILVER MINES | FVI | GWR GLOBAL WATER RES | GWR |
| FRANCO-NEVADA CORP. | FNV | H&R RL EST STAPLE UN | HR.UN |
| FREEHOLD ROYALTIES | FRU | HALOGEN SOFTWARE | HGN |
| GAMEHOST INC. | GH | HARDWOODS DIST INC. | HWD |
| GENERAL MOTORS CO US | GMM.U | HEALTHCARE LDRINCMUN | HHL.UN |
| GENESIS LAND DEV | GDC | HELIX BIOPHARMA | HBP |
| GENWORTH MI CANADA | MIC | HIGH ARCTIC ENGY INC | HWO |

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| HIGH LINER FOODS INC | HLF | IGM FINANCIAL B PR | IGM.PR.B |
| HOME CAPITAL GROUP | HCG | IMPERIAL METALS CORP | III |
| HORIZN ACTFLTGPREF E | HFP | IMPERIAL OIL LTD. | IMO |
| HORIZN ACTFLTGP ADV | HFP.A | IMVESCOR RESTAURANT | IRG |
| HORIZON BETA60BEAR A | HXD | INDEXPLUS INCOME UN | IDX.UN |
| HORIZON CDN SLCTUNIV | HBB | INDSTRL ALLIANCE INS | IAG |
| HORIZON CORPBND E UN | HAB | INDSTRL ALLIANCEPR B | IAG.PR.A |
| HORIZONS CORPBND ADV | HAB.A | INDSTRL ALLIANCEPR G | IAG.PR.G |
| HORIZON CRDOIL BL+UN | HOU | INFO SERV CORP. A LV | ISV |
| HORIZON HIGHYLBND E | HYI | ING FLTG RT SNR A UN | ISL.UN |
| HORIZON HIGHYLBNDAD | HYI.A | ING FLTG RTSNR US UN | ISL.U |
| HORIZON NTH LOGSTC J | HNL | INNERGEX RENEW ENGY | INE |
| HORIZON S&P/TSX60 A | HXT | INNERGEX RENEW PR A | INE.PR.A |
| HORIZON S&P/TSX60 US | HXT.U | INNERGEX RENEW PR C | INE.PR.C |
| HORIZONS S&P500 UN | HXS | INNVEST REIT UNITS | INN.UN |
| HORIZON S&P500 US UN | HXS.U | INOVALIS REIT UN | INO.UN |
| HORIZON US\$ CUR A UN | DLR | INTACT FIN CORP. | IFC |
| HORIZON US\$CUR A USF | DLR.U | INTACT FIN A SER 1 | IFC.PR.A |
| HORIZON FLTGRTBND UN | HFR | INTACT FIN A SER 3 | IFC.PR.C |
| HORIZONS FLTGBND ADV | HFR.A | INTELLIPHARMA INTL J | I |
| HORIZON GLBLDIV E UN | HAZ | INTER PIPELINE LTD. | IPL |
| HORIZONS GLBLDIV ADV | HAZ.A | INTERFOR CORPORATION | IFP |
| HORIZON PREF CL E UN | HPR | INTERRENT REIT UN J | IIP.UN |
| HORIZONS PREF ADV UN | HPR.A | INTERTAIN GRP LTD J | IT |
| HUDBAY MINERALS INC. | HBM | INTERTAPE POLYMER | ITP |
| HUDSON'S BAY COMPANY | HBC | ISHARE CDN HYBRD BND | XHB |
| HUSKY ENERGY INC. | HSE | ISHARE CDN RLRET BND | XRB |
| HUSKY ENERGY SR 1 PR | HSE.PR.A | ISHR CDNDIVARISTO UN | CDZ |
| HUSKY ENERGY SR 3 PR | HSE.PR.C | ISHARE CDNDIVARISTAD | CDZ.A |
| HUSKY ENERGY SR 5 PR | HSE.PR.E | ISHARES CDNFNDMNTLUN | CRQ |
| HUSKY ENERGY SR 7 PR | HSE.PR.G | ISHARE CDNFNDMNTL AD | CRQ.A |
| IAMGOLD CORPORATION | IMG | ISHARE DIVRS MO INCM | XTR |
| IGM FINANCIAL INC. | IGM | ISHARE EAFE MIN VLTY | XMI |

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|--------|----------------------|--------|
| ISHARE GLBL HLTHCARE | XHC | ISHARES US SMALL CAP | XSU |
| ISHARES GLBLRLEST UN | CGR | ISHR CDN UNIVERS BND | XBB |
| ISHARE GLBLRLEST ADV | CGR.A | ISHR CORECDNLT BNDUN | XLB |
| ISHARE GLDBULHDGD UN | CGL | ISHR CORECDNSHMLBND | XSH |
| ISHARE GLDBUL NN-HDG | CGL.C | ISHR COREHICDNBND UN | XQB |
| ISHARE INTLFNDMNT UN | CIE | ISHR COREHICDNBND AD | XQB.A |
| ISHARE INTLFNDMNT AD | CIE.A | ISHR CORESP/TSX DIV | XEI |
| ISHARE MSCI EAFE IDX | XIN | ISHR CORMSCIEAFE IMI | XEF |
| ISHARE MSCI WORLD UN | XWD | ISHR MSCI EU IMI CAD | XEH |
| ISHARE US DIVGROW UN | CUD | ISHR MSCI EU IMI IDX | XEU |
| ISHARE US DIVGRO ADV | CUD.A | ISHR S&PTSX NA PRSTK | XPF |
| ISHARE US HIGHDIV EQ | XHD | ISHR US HIYLDINCM UN | CHB |
| ISHARES CDN CORP BND | XCB | ISHR US HIYLDINCM AD | CHB.A |
| ISHARES CDNFINMO UN | FIE | ISHRE 1-10CORPBND UN | CBH |
| ISHARES CDNFINMO ADV | FIE.A | ISHRE 1-10CORPBND AD | CBH.A |
| ISHARES CDNPREF UN | CPD | ISHRE 1-5 CORPBND UN | CBO |
| ISHARES CDNPREF ADV | CPD.A | ISHRE 1-5CORPBND AD | CBO.A |
| ISHARES CONVBOND UN | CVD | ISHRE 1-5 GOVTBND UN | CLF |
| ISHARES CONVBOND ADV | CVD.A | ISHRE 1-5 GOVTBND AD | CLF.A |
| ISHARES CORE S&P 500 | XSP | ISHRE CDN SH TRM BND | XSB |
| ISHARES CORESP500IDX | XUS | JEAN COUTU GROUP SV | PJC.A |
| ISHARES EQWEIGHT UN | CEW | JOURNEY ENERGY INC. | JOY |
| ISHARES EQWEIGHT ADV | CEW.A | JUST ENERGY GROUP | JE |
| ISHARES FLTG RATE UN | XFR | KELSO TECHNOLOGIES | KLS |
| ISHARES GLBLMODIV UN | CYH | KELT EXPL LTD. J | KEL |
| ISHARES GLBLMODIV AD | CYH.A | KEYERA CORP. | KEY |
| ISHARES GOLD TRUST | IGT | KILLAM PROPERTIES J | KMP |
| ISHARES JAPFNDMNT UN | CJP | KINAXIS INC. J | KXS |
| ISHARES JAPFNDMNTLAD | CJP.A | KINROSS GOLD CORP. | K |
| ISHARES S&P/TSX TECH | XIT | KIRKLAND LAKE GLD J | KGI |
| ISHARES SHDURATN UN | CSD | KLONDEX MINES LTD. J | KDX |
| ISHARES SH DURATN AD | CSD.A | KNIGHT THERAPEUTICS | GUD |
| ISHARES US HIYLD BND | XHY | LABRADOR IRON ORE | LIF |

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IIROC Notice 15-xxx Rules Notice – Technical – List of Securities Eligible for Reduced Margin

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|----------|----------------------|----------|
| LAURENTIAN BK OF CAN | LB | MARRET RESOURCE J | MAR |
| LAURENTIAN BK PR 11 | LB.PR.F | MARTINREA INT'L INC. | MRE |
| LAURENTIAN BK PR 13 | LB.PR.H | MCAN MORTGAGE CORP. | MKP |
| LIFE & BANC SPLIT A | LBS | MCCOY GLOBAL INC. | MCB |
| LIFE & BANC SPLIT PR | LBS.PR.A | MDC PARTNERS CL A SV | MDZ.A |
| LINAMAR CORPORATION | LNR | MEDICAL FACILITIES | DR |
| LIQUOR STORES NA LTD | LIQ | MEG ENERGY CORP. | MEG |
| LOBLAW COMPANIES LTD | L | MELCOR REIT UN | MR.UN |
| LOBLAW CO. SER B PR | L.PR.B | MERCER INT'L INC. US | MRI.U |
| LUMENPULSE INC. J | LMP | MERUS LABS INT'L J | MSL |
| LUNDIN GOLD INC. J | LUG | METHANEX CORPORATION | MX |
| LUNDIN MINING CORP | LUN | METRO INC. | MRU |
| MACDONALD DETTWILER | MDA | MILESTONE APTREIT UN | MST.UN |
| MAG SILVER CORP. J | MAG | MINT INCME FND TR UN | MID.UN |
| MAGELLAN AEROSPACE | MAL | MITEL NETWORKS CORP. | MNW |
| MAGNA INT'L INC. | MG | MOLSONCOORS CDA A LV | TPX.A |
| MAJOR DRILLING GROUP | MDI | MOLSONCOORS CDA B NV | TPX.B |
| MANITOBA TELECOM | MBT | MORGUARD NA REIT UN | MRG.UN |
| MANULIFE FINANCIAL | MFC | MORGUARD REAL EST UN | MRT.UN |
| MANULIFE FIN SR 2 PR | MFC.PR.B | MORNEAU SHEPELL INC. | MSI |
| MANULIFE FIN A PR 3 | MFC.PR.C | MOUNTAIN PROVINCE J | MPV |
| MANULIFE FIN SR 3 PR | MFC.PR.F | MTY FOOD GROUP INC. | MTY |
| MANULIFE FIN SR 5 PR | MFC.PR.G | MULLEN GROUP LTD. | MTL |
| MANULIFE FIN SR 7 PR | MFC.PR.H | N.A. ENERGY PARTNERS | NOA |
| MANULIFE FIN SR 9 PR | MFC.PR.I | NA FIN 15 SPLIT A | FFN |
| MANULIFE FIN SR11 PR | MFC.PR.J | NA FIN 15 SPLIT 2 PR | FFN.PR.A |
| MANULIFE FIN SR13 PR | MFC.PR.K | NAT'L BANK OF CANADA | NA |
| MANULIFE FIN SR15 PR | MFC.PR.L | NAT'L BANK SER 20 PR | NA.PR.M |
| MANULIFE FIN SR17 PR | MFC.PR.M | NAT'L BANK SER 28 PR | NA.PR.Q |
| MANULIFE FIN SR19 PR | MFC.PR.N | NAT'L BANK SER 30 PR | NA.PR.S |
| MANULIFE FLTGRTLN UN | MFR.UN | NAT'L BANK SER 32 PR | NA.PR.W |
| MANULIFE US REGNL UN | MBK.UN | NEVSUN RESOURCES J | NSU |
| MAPLE LEAF FOODS INC | MFI | NEW FLYER INDUSTRIES | NFI |

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|----------|----------------------|----------|
| NEW GOLD INC. | NGD | PEMBINA PIPE SR 3 PR | PPL.PR.C |
| NEWALTA CORPORATION | NAL | PEMBINA PIPE SR 5 PR | PPL.PR.E |
| NOBILIS HEALTH CORP. | NHC | PEMBINA PIPE SR 7 PR | PPL.PR.G |
| NORANDA INCM PRIORUN | NIF.UN | PEMBINA PIPE SR 9 PR | PPL.PR.I |
| NORBORD INC. | NBD | PENGROWTH ENERGY | PGF |
| NORTH WEST COMPANY | NWC | PENN WEST PETROLEUM | PWT |
| NORTHLAND POWER INC. | NPI | PERFORMANCE SPORTS | PSG |
| NORTHLAND PWR PR 1 | NPI.PR.A | PEYTO EXPL & DEV | PEY |
| NORTHLAND PWR PR 3 | NPI.PR.C | PHX ENERGY SERVICES | PHX |
| NORTHRN BLIZZARD RES | NBZ | PICTON MAHONEY A UN | PMB.UN |
| NOVADAQ TECH INC. J | NDQ | PIMCO GBL INCMOPP UN | PGI.UN |
| NOVAGOLD RESOURCES J | NG | PIZZA PIZZA ROYALTY | PZA |
| NTHN PPTY REIT UN | NPR.UN | PLAZA RETAIL REIT UN | PLZ.UN |
| NUVISTA ENERGY LTD. | NVA | POLARIS MATERIALS J | PLS |
| NW HEALTHCARE UN | NWH.UN | POTASH CORP OF SASK | POT |
| OCEANAGOLD CORP. | OGC | POWER CORP OF CDA SV | POW |
| OCP CREDIT STGY UN | OCS.UN | POWER CORP 5.6% PR A | POW.PR.A |
| OCP SENIOR CREDIT UN | OSL.UN | POWER CORP 5.35% PR | POW.PR.B |
| ONEREIT | ONR.UN | POWER CORP 5.80% PR | POW.PR.C |
| ONEX CORPORATION SV | OCX | POWER CORP 5.00% PR | POW.PR.D |
| OPEN TEXT CORP | OTC | POWER CORP PART. PR | POW.PR.E |
| OROCOBRE LTD. ORD J | ORL | POWER CORP SR861STPR | POW.PR.F |
| OSISKO GLD ROYALTY | OR | POWER CORP 5.6% PR G | POW.PR.G |
| PAINTED PONY PETE J | PPY | POWER FINANCIAL CORP | PWF |
| PAN AMERICAN SILVER | PAA | POWER FINANCIAL PR A | PWF.PR.A |
| PARAMOUNT RES CL A | POU | POWER FINANCIAL PR D | PWF.PR.E |
| PAREX RESOURCES J | PXT | POWER FIN 5.25% PR E | PWF.PR.F |
| PARKLAND FUEL CORP. | PKI | POWER FIN 5.90% PR F | PWF.PR.G |
| PARTNERS REIT UN | PAR.UN | POWER FIN 5.75% PR H | PWF.PR.H |
| PASON SYSTEMS INC. | PSI | POWER FIN 6% PR I | PWF.PR.I |
| PATTERN ENERGY GRP A | PEG | POWER FIN 4.95% PR K | PWF.PR.K |
| PEMBINA PIPELINE | PPL | POWER FIN 5.10% PR L | PWF.PR.L |
| PEMBINA PIPE SR 1 PR | PPL.PR.A | POWER FIN 5.80% PR O | PWF.PR.O |

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List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|----------|----------------------|----------|
| POWER FIN 4.40% PR P | PWF.PR.P | RESTAURANT BRNDLP UN | QSP.UN |
| POWER FIN 5.50% PR R | PWF.PR.R | RICHELIEU HARDWARE | RCH |
| POWER FIN 4.80% PR S | PWF.PR.S | RICHMONT MINES | RIC |
| POWER FIN 4.20% PR T | PWF.PR.T | RIOCAN RL EST TR UN | REI.UN |
| POWERSHR TACTBOND UN | PTB | RIOCAN REIT SER A PR | REI.PR.A |
| PRAIRIESKY ROYALTY | PSK | RIOCAN REIT SER C PR | REI.PR.C |
| PRECIOUS MTL&MINE UN | MMP.UN | RITCHIE BROS AUCTION | RBA |
| PRECISION DRILL CORP | PD | RMP ENERGY INC. J | RMP |
| PREMIER GOLD MINES J | PG | ROCK ENERGY INC. J | RE |
| PREMIUM BRANDS HLDGS | PBH | ROCKY MTN DEALERSHIP | RME |
| PREMIUM INCOME CL A | PIC.A | ROGERS COMM CL A | RCI.A |
| PREMIUM INCOME PR | PIC.PR.A | ROGERS COMM CL B NV | RCI.B |
| PRETIUM RESOURCES J | PVG | ROGERS SUGAR INC. | RSI |
| PRIMERO MINING CORP. | P | RONA INC. | RON |
| PROGRESSIVE WASTE | BIN | RONA INC. 5.25% PR | RON.PR.A |
| PROMETIC LIFE J | PLI | ROYAL BANK OF CANADA | RY |
| PULSE SEISMIC INC. | PSD | ROYAL BANK PR SER AA | RY.PR.A |
| PURE INDUSTRIAL UN | AAR.UN | ROYAL BANK PR SER AB | RY.PR.B |
| PURE TECH LTD. J | PUR | ROYAL BANK PR SER AC | RY.PR.C |
| PURPOSE CORE DIV FND | PDF | ROYAL BANK PR SER AD | RY.PR.D |
| PURPOSE HIGH INTSVGS | PSA | ROYAL BANK PR SER AE | RY.PR.E |
| PURPOSE TOT RET BOND | PBD | ROYAL BANK PR SER AF | RY.PR.F |
| PWRSHARES CORPBND UN | PSB | ROYAL BANK PR SER AG | RY.PR.G |
| QLT INC. | QLT | ROYAL BANK PR SER BB | RY.PR.H |
| QUEBECOR INC CL A MV | QBR.A | ROYAL BANK PR SER AJ | RY.PR.I |
| QUEBECOR INC CL B SV | QBR.B | ROYAL BANK PR SER BD | RY.PR.J |
| RAGING RIVER EXPL | RRX | ROYAL BANK PR SER AK | RY.PR.K |
| RDM CORPORATION J | RC | ROYAL BANK PR SER AL | RY.PR.L |
| REDKNEE SOLUTIONS J | RKN | ROYAL BANK PR SER BF | RY.PR.M |
| REITMAN'S CANADA | RET | ROYAL BANK PR SER BH | RY.PR.N |
| REITMAN'S CDA A NV | RET.A | ROYAL BANK PR SER W | RY.PR.W |
| RESOLUTE FOREST PROD | RFP | ROYAL BANK PR SER AZ | RY.PR.Z |
| RESTAURANT BRND INTL | QSR | ROYAL GOLD, INC. | RGL |

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IIROC Notice 15-xxx Rules Notice – Technical – List of Securities Eligible for Reduced Margin

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|----------|----------------------|----------|
| RUSSEL METALS INC. | RUS | STELLA-JONES INC. | SJ |
| RY CDN MINT-GOLD ETR | MNT | STRAD ENERGY SERV J | SDY |
| RY CDN MINT-GOLD USF | MNT.U | STREET CAPITAL GROUP | SCB |
| SANDSTORM GOLD LTD. | SSL | STUART OLSON INC. | SOX |
| SANDVINE CORP J | SVC | STUDENT TRANSPORT | STB |
| SAPUTO INC. | SAP | SUMMIT IND REIT UN J | SMU.UN |
| SAVARIA CORP. J | SIS | SUN LIFE FIN INC. | SLF |
| SCITI TRUST TRANS UN | SIN.UN | SUN LIFE FIN PR A | SLF.PR.A |
| SEABRIDGE GOLD INC J | SEA | SUN LIFE FIN SER2 PR | SLF.PR.B |
| SEARS CANADA INC | SCC | SUN LIFE FIN SER3 PR | SLF.PR.C |
| SECURE ENERGY SERV J | SES | SUN LIFE FIN SER4 PR | SLF.PR.D |
| SEMAFO INC. J | SMF | SUN LIFE FIN SER5 PR | SLF.PR.E |
| SENTRY PRIME METAL A | PME | SUN LIFE FIN SR8R PR | SLF.PR.G |
| SEVEN GENERATIONS A | VII | SUN LIFE FIN 10R PR | SLF.PR.H |
| SHAW COMM CL B NV | SJR.B | SUN LIFE FIN 12R PR | SLF.PR.I |
| SHAW COMM CL 2 PR A | SJR.PR.A | SUN LIFE FIN 9QR PR | SLF.PR.J |
| SHAWCOR LTD. | SCL | SUNCOR ENERGY INC. | SU |
| SHERRITT INT'L CORP | S | SUNOPTA, INC. | SOY |
| SIENNA SENIOR LIVING | SIA | SUPERIOR PLUS CORP. | SPB |
| SIERRA WIRELESS | SW | SUPREMEX INC. | SXP |
| SILVER STANDARD RES | SSO | SURGE ENERGY INC. J | SGY |
| SILVER WHEATON CORP. | SLW | SYMPHONY FLTGLOAN UN | SSF.UN |
| SIRIUS XM CDA A SV J | XSR | T.D. BANK | TD |
| SLATE OFFICE REIT UN | SOT.UN | T.D. BANK SER 1 PR | TD.PF.A |
| SLATE RETAIL CAD UN | SRT.UN | T.D. BANK SER 3 PR | TD.PF.B |
| SLATE RETAIL CL U UN | SRT.U | T.D. BANK SER 5 PR | TD.PF.C |
| SMART REIT TR VV UN | SRU.UN | T.D. BANK SER 7 PR | TD.PF.D |
| SNC - LAVALIN GROUP | SNC | T.D. BANK SER 9 PR | TD.PF.E |
| SOLIUM CAPITAL INC J | SUM | T.D. BANK SER S PR | TD.PR.S |
| SPARTAN ENGY CORP J | SPE | T.D. BANK SER T PR | TD.PR.T |
| SPROTT INC. | SII | T.D. BANK SER Y PR | TD.PR.Y |
| SR SECURED FLTGLN UN | FRL.UN | T.D. BANK SER Z PR | TD.PR.Z |
| STANTEC INC. | STN | TAHOE RESOURCES J | THO |

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List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|----------------------|----------|----------------------|----------|
| TECK RES CL A MV | TCK.A | TRANSCAN CORP PR 4 | TRP.PR.H |
| TECK RES CL B SV | TCK.B | TRANSCONTINENTL A SV | TCL.A |
| TELUS CORPORATION | T | TRANSCONTINENTL B MV | TCL.B |
| TEMBEC INC. | TMB | TRANSFORCE INC. | TFI |
| TEN PEAKS COFFEE | TPK | TRANSGLOBE ENERGY J | TGL |
| TERAGO INC. | TGO | TREE ISLAND STEEL | TSL |
| THOMSON REUTERS CORP | TRI | TREZ CAP MTG INVST A | TZZ |
| THOMSON REUTER PR II | TRI.PR.B | TREZ CAPSNRMTG INV A | TZS |
| TIMBERCREEK MORTGAGE | TMC | TRICAN WELL SERVICE | TCW |
| TIMBERCREEK SR MTG | MTG | TRICON CAPITAL GROUP | TCN |
| TMX GROUP LIMITED | X | TRILOGY ENERGY CORP. | TET |
| TORC OIL & GAS LTD. | TOG | TRIMAC TRANSPORT A | TMA |
| TOROMONT INDUSTRIES | TIH | TRINIDAD DRILLING | TDG |
| TORSTAR CL B NV | TS.B | TRUE NTH APT REIT UN | TN.UN |
| TOTAL ENGY SERV INC. | TOT | TRUE NTHCOMMERCL JUN | TNT.UN |
| TOURMALINE OIL CORP. | TOU | TURQUOISE HILL RES | TRQ |
| TRANSALTA RENEWABLE | RNW | UNI-SELECT INC. | UNS |
| TRANSALTA CORP. | TA | URANIUM PARTICIPATE | U |
| TRANSALTA SER A PR | TA.PR.D | URBANA CORPORATION | URB |
| TRANSALTA SER C PR | TA.PR.F | URBANA CORP. CL A NV | URB.A |
| TRANSALTA SER E PR | TA.PR.H | VALEANT PHARM INT'L | VRX |
| TRANSALTA SER G PR | TA.PR.J | VALENER INC. | VNR |
| TRANSAT A.T. CL A | TRZ.A | VALENER INC. SR A PR | VNR.PR.A |
| TRANSAT A.T. CL B | TRZ.B | VANGRD FTSE DEV EXNA | VDU |
| TRANSATLANTIC PETE J | TNP | VANGUARD CDAALLCAPUN | VCN |
| TRANSCAN CORPORATION | TRP | VANGUARD CDN AGR BND | VAB |
| TRANSCAN CORP PR 1 | TRP.PR.A | VANGUARD CDN S-T BND | VSB |
| TRANSCAN CORP PR 3 | TRP.PR.B | VANGUARD FTSE CDAIDX | VCE |
| TRANSCAN CORP PR 5 | TRP.PR.C | VANGUARD FTSE DEV EU | VE |
| TRANSCAN CORP PR 7 | TRP.PR.D | VANGUARD FTSE DEV NA | VEF |
| TRANSCAN CORP PR 9 | TRP.PR.E | VANGUARD FTSE DIVYLD | VDY |
| TRANSCAN CORP PR 2 | TRP.PR.F | VANGUARD FTSEEMRGMKT | VEE |
| TRANSCAN CORP PR 11 | TRP.PR.G | VANGUARD FTSEWRLDXCA | VXC |

List of Securities Eligible for Reduced Margin

Attachment #1

| Description | Symbol | Description | Symbol |
|-----------------------|----------|--|--------|
| VANGUARD S&P500 INDX | VFV | ZARGON OIL & GAS LTD | ZAR |
| VANGUARD S-T CRPBOND | VSC | ZCL COMPOSITES INC | ZCL |
| VANGUARD US MKTIDXUN | VUN | | |
| VECIMA NETWORKS INC. | VCM | | |
| VERESEN INC. | VSN | ONLY ON LSERM BECAUSE OCC OPTIONS | |
| VERESEN INC SER A PR | VSN.PR.A | TRADE AGAINST SECURITY | |
| VERESEN INC SER C PR | VSN.PR.C | AVALON RARE METALS J | AVL |
| VERESEN INC SER E PR | VSN.PR.E | BANRO CORPORATION J | BAA |
| VERMILION ENERGY INC | VET | DENISON MINES CORP | DML |
| WAJAX CORPORATION | WJX | DRAGONWAVE INC. | DWI |
| WEBTECH WIRELESS INC | WEW | ENERGY FUELS INC. J | EFR |
| WEST FRASER TIMBER | WFT | ENTREE GOLD INC. J | ETG |
| WESTERN ENERGY SERV | WRG | EXETER RESOURCE J | XRC |
| WESTERN FOREST PROD | WEF | GAZIT-GLOBE LTD. ORD | GZT |
| WESTJET AIRLINES LTD | WJA | GENERAL MOLY, INC. | GMO |
| WESTJET AIRLINE VARY | WJA.A | GOLDEN MINERALS J | AUM |
| WESTON GEORGE | WN | GOLDEN STAR RESOURCE | GSC |
| WESTON GEORGE 1 PR | WN.PR.A | GT PANTHER SILVER J | GPR |
| WESTON GEORGE III PR | WN.PR.C | INT'L TOWER HILL J | ITH |
| WESTON GEORGE IV PR | WN.PR.D | MCEWEN MINING INC. J | MUX |
| WESTON GEORGE V PR | WN.PR.E | N.A. PALLADIUM LTD. | PDL |
| WESTPORT INNOVATIONS | WPT | NEPTUNE TECH & BIO J | NTB |
| WESTSHORE TERMINALS | WTE | NOVACOPPER INC. J | NCQ |
| WHISTLER BLACKCOMB | WB | NTHRN DYNASTY MIN J | NDM |
| WHITECAP RESOURCES J | WCP | ONCOLYTICS BIOTECH | ONC |
| WI-LAN INC. | WIN | QUEST RARE MIN J | QRM |
| WINPAK LIMITED | WPK | RARE ELEMENT RES J | RES |
| WPT INDUSTRIL REIT US | WIR.U | RUBICON MINERALS J | RMX |
| WSP GLOBAL INC. | WSP | SILVERCORP METALS J | SVM |
| XTREME DRILL&COIL J | XDC | SMART TECH INC A SV | SMA |
| YAMANA GOLD INC. | YRI | TANZANIAN ROYALTY J | TNX |
| YELLOW PAGES LIMITED | Y | TASEKO MINES LTD. | TKO |
| YIELDPLUS INCOME UN | YP.UN | | |

List of Securities Eligible for Reduced Margin

Attachment #1

Description
THOMPSON CREEK METAL
VISTA GOLD CORP

Symbol
TCM
VGZ

**List of Securities Eligible for Reduced Margin
Schedule of Additions¹**

Attachment #1

| Description | Symbol |
|-------------------------------------|---------------|
| ADDITIONS | |
| TORONTO STOCK EXCHANGE (TSX) | |
| AFRICA OIL CORP. J | AOI |
| ALARMFORCE IND J | AF |
| ARSENAL ENERGY INC J | AEI |
| BANK OF N S SR 31 PR | BNS.PR.D |
| BK OF MON PR SER 33 | BMO.PR.Y |
| BMO DJ INDAV HEG ETF | ZDJ |
| BMO MIDUSCRPBNDCA UN | ZIC |
| BMO MIDUS CRPBND USF | ZIC.U |
| BMO USDIV HDG-CAD UN | ZUD |
| BOULDER ENERGY LTD | BXO |
| BROOKFLD OFFICE PRAA | BPO.PR.A |
| BROOKFLD OFFICE PR P | BPO.PR.P |
| BURCON NUTRASCI J | BU |
| CANACOL ENERGY J | CNE |
| CANSO CREDIT A UN | PBY.UN |
| CARGOJET INC. | CJT |
| CARGOJET INC. VV | CJT.A |
| CARMANAH TECH CORP. | CMH |
| CDN BANC CORP. PR | BK.PR.A |
| CDN OIL SANDS LTD. | COS |
| CENTRAL GOLDTRUST UN | GTU.UN |
| CENTRAL GOLDTR US UN | GTU.U |
| CERVUS EQUIPMENT | CVL |
| CHINA GLD INTL RES J | CGG |
| COLLIERS INTL GRP SV | CIG |
| CONTINENTAL GOLD J | CNL |
| CONVERTIBLE DBINCMUN | OCV.UN |

| Description | Symbol |
|-----------------------|---------------|
| ADDITIONS | |
| CRH MEDICAL CORP. J | CRH |
| CYNAPSUS THERPEUTC J | CTH |
| DIRTT ENV SOLUTION J | DRT |
| DIVERS RYLTY CORP. J | DIV |
| EAGLE ENERGY TR UN J | EGL.UN |
| ELEMENT FIN PR G | EFN.PR.G |
| ESPIAL GROUP INC. J | ESP |
| FORTIS INC.1ST PR I | FTS.PR.I |
| GLBL HEALTHCAREVDVDFD | GHC.UN |
| GWR GLOBAL WATER RES | GWR |
| HEALTHCARE LDRINCMUN | HHL.UN |
| HELIX BIOPHARMA | HBP |
| HORIZN ACTFLTGPREF E | HFP |
| HORIZN ACTFLTGP ADV | HFP.A |
| HORIZON CDN SLCTUNIV | HBB |
| HORIZON CRDOIL BL+UN | HOU |
| HORIZON HIGHYLBND E | HYI |
| HORIZON HIGHYLBNDAD | HYI.A |
| HUSKY ENERGY SR 7 PR | HSE.PR.G |
| IMVESCOR RESTAURANT | IRG |
| INFO SERV CORP. A LV | ISV |
| INTELLIPHARMA INTL J | I |
| ISHARE EAFE MIN VLT | XMI |
| ISHRE 1-10CORPBND UN | CBH |
| ISHRE 1-10CORPBND AD | CBH.A |
| LOBLAW CO. SER B PR | L.PR.B |
| LUNDIN GOLD INC. J | LUG |
| MEG ENERGY CORP. | MEG |

1. Additions are securities that appear on this quarter's list but did not appear on the previous quarter's list.

**List of Securities Eligible for Reduced Margin
Schedule of Additions¹**

Attachment #1

| Description | Symbol |
|----------------------|---------------|
| ADDITIONS | |
| MOLSONCOORS CDA A LV | TPX.A |
| MOLSONCOORS CDA B NV | TPX.B |
| ONEREIT | ONR.UN |
| PEMBINA PIPE SR 9 PR | PPL.PR.I |
| PICTON MAHONEY A UN | PMB.UN |
| PRECIOUS MTL&MINE UN | MMP.UN |
| PURPOSE HIGH INTSVGS | PSA |
| RESTAURANT BRNDLP UN | QSP.UN |
| RMP ENERGY INC. J | RMP |
| ROCK ENERGY INC. J | RE |
| ROYAL BANK PR SER BH | RY.PR.N |
| SEVEN GENERATIONS A | VII |
| SMART REIT TR VV UN | SRU.UN |
| STREET CAPITAL GROUP | SCB |
| SUN LIFE FIN 9QR PR | SLF.PR.J |
| SURGE ENERGY INC. J | SGY |
| T.D. BANK SER 9 PR | TD.PF.E |
| TEN PEAKS COFFEE | TPK |
| TERAGO INC. | TGO |
| TRANSCAN CORP PR 4 | TRP.PR.H |
| TREE ISLAND STEEL | TSL |
| TREZ CAPSNRMTG INV A | TZS |
| VANGUARD FTSE DEV EU | VE |
| VERESEN INC SER E PR | VSN.PR.E |
| WEBTECH WIRELESS INC | WEW |
| WESTERN FOREST PROD | WEF |
| XTREME DRILL&COIL J | XDC |
| ZARGON OIL & GAS LTD | ZAR |

| Description | Symbol |
|--------------------|---------------|
| ADDITIONS | |

1. Additions are securities that appear on this quarter's list but did not appear on the previous quarter's list.

**List of Securities Eligible for Reduced Margin
Schedule of Deletions²**

Attachment #1

| Description | Symbol | Description | Symbol |
|-------------------------------------|---------------|----------------------|---------------|
| DELETIONS | | DELETIONS | |
| TORONTO STOCK EXCHANGE (TSX) | | ING DIVRSFLTGLAN UN | IFL.UN |
| 1ST TR SNRLOAN CAD-H | FSL | ING HIINCMFLTG A UN | IHL.UN |
| 1ST TR SNRLOANC-H AD | FSL.A | ISHARE MSCI EMERGMKT | XEM |
| 5N PLUS INC. | VNP | ISHARE SP/TSX SMLCAP | XCS |
| AURICO GOLD INC. | AUQ | ISHARES CDN GOVT BND | XGB |
| AUSTRALIAN REIT A UN | HRR.UN | ISHARES CONSUMR STPL | XST |
| BK OF MON PR SER 13 | BMO.PR.J | ISHARES INDIA IDX UN | XID |
| BMO LNG FEDERAL BOND | ZFL | ISHARES US FNDMTL UN | CLU |
| BMO MSCI EMRGMKTS UN | ZEM | ISHARES US FNDMTL AD | CLU.A |
| BMO NASDAQ EQHED ETF | ZQQ | ISHARES US FNDMNTL B | CLU.B |
| BMO SHRT PRV BND ETF | ZPS | ISHARES US FNDMNTL C | CLU.C |
| BROOKFIELD RL EST RV | BRE | ISHR MSCI US MINVLT | XMU |
| CALLOWAY REIT TR UN | CWT.UN | KP TISSUE INC. | KPT |
| CATAMARAN CORP. | CCT | LOBLAW CO. SER A PR | L.PR.A |
| CERES GLOBAL AG CORP | CRP | LEON'S FURNITURE LTD | LNFB |
| COGECO INC. SV | CGO | MAINSTREET EQUITY J | MEQ |
| CORBY SPIRIT&WINE A | CSW.A | MANULIFE FIN A PR 1 | MFC.PR.A |
| CORBY SPIRIT&WN B NV | CSW.B | MAXIM POWER CORP. | MXG |
| COUNSEL CORPORATION | CXS | MELCOR DEVELOPMENTS | MRD |
| DEETHREE EXPL LTD. J | DTX | MIDDLEFLD CAN-GLB UN | RCO.UN |
| DIVIDEND SELECT 15EQ | DS | PWRSHR ULTR LIQLTGVT | PGL |
| EAST COAST INVGRD UN | ECF.UN | RBC QNTUS DIVLEAD UN | RUD |
| GUARDIAN CAPITAL | GCG | RBC QNTUS DIVLEADUSD | RUD.U |
| GUARDIAN CAP CL A NV | GCG.A | REGAL LIFESTYLE J | RLC |
| HEROUX-DEVTEK INC. | HRX | RETROCOM REIT UN | RMM.UN |
| HORIZON BETA60BULL A | HXU | REVETT MINING CO J | RVM |
| HORIZON INCM EQ E UN | HEX | TECSYS INC. J | TCS |
| HORIZON ENHNCEQTY AD | HEX.A | URANERZ ENERGY J | URZ |

2. Deletions are securities that do not appear on this quarter's list but did appear on the previous quarter's list.

**List of Securities Eligible for Reduced Margin
Schedule of Deletions²**

Attachment #1

| Description | Symbol |
|----------------------|---------------|
| DELETIONS | |
| VANGUARD S&P500 C-HG | VSP |
| VANGUARD US DIVAPPR | VGG |
| VANGUARD US TOTMKTUN | VUS |
| VICWEST INC. | VIC |

2. Deletions are securities that do not appear on this quarter's list but did appear on the previous quarter's list.

List of Securities Eligible for Reduced Margin

Attachment #1

Eligibility Criteria

For the selection of securities eligible for a reduced margin rate, the securities must meet the following criteria.

General Inclusion Requirements

Price volatility measures

- Calculated price volatility margin interval $\leq 25\%$
- Market value per share $\geq \$2.00$ per share

Liquidity measures

- Dollar value of public float greater than \$50 million
- Average daily trade volume for each month in the quarter $\geq 10,000$ shares per day for at least two out of the three months in the quarter

OR

- An equivalent average daily traded value amount for each month in the quarter ended $\geq \$500,000$ per day [to accommodate high price securities]

Listing requirements

- Listed on a Canadian exchange for six months

OR

- Listed on a Canadian exchange less than six months, with:
 - Market value per share $\geq \$5.00$ per share
 - Dollar value of public float greater than \$500 million; and
 - In the discretion of IIROC staff, the issuer company is in an industry sector known for low price volatility

Other Inclusion Requirements

- A new security listing resulting from an issuer reorganization that:
 - is substantially the same as a previous security listing,
 - has a combined calculated price volatility margin interval for the old and the new listings of $\leq 25\%$; and
 - meets all the other *General Inclusion Requirements* for ongoing listings.

For the purposes of this requirement, the term “substantially the same” means a new security listing that represents between 80% and 120% of the public float of a previous security listing.

- A Canada/United States inter-listed security against which options issued by The Options Clearing Corporation are traded.
- A security that is senior to a security that meets the *General Inclusion Requirements* or *Other Inclusion Requirements* above, or is a related common security from that same issuer.