



## Transfer of Assets Form

### For use for account transfers from non-US brokers and banks

This form authorizes the transfer of assets from a bank or broker to Interactive Brokers. If you are transferring more than one account, please complete one form for each account that you are transferring. The type and the holder of account at your current broker should match the Interactive Brokers account receiving the assets (e.g. if the sending account is a joint account, the receiving account should be a joint account as well). If the account types do not match, there may be problem in completing the transfer and the transfer may also be rejected by Interactive Brokers.

Interactive Brokers only accepts products that are available for trading on the IB system. Certain products cannot be held in your IB account including: money market deposits, many bonds and similar fixed income instruments, many mutual funds, limited partnership units and certain classes of stock. IB will not accept transfer of any securities in registered or restricted form. In the event that securities are received in registered form or restricted form, the shares may be returned to the initiating Bank / Broker. Please note that in the Spanish market, all shares transferred to IB will be re-registered into street name as IB does not hold shares in the name of the final beneficiary. Re-registration in the Spanish market is handled by selling the shares on the exchange in the name of the final beneficiary and purchasing the shares on the exchange in the name of Interactive Brokers LLC. Please consult your tax advisor regarding any queries you may have on reporting such activity.

**Although not required, it is helpful to avoid errors in the transfer process if you send a copy of the most recent account statement from the sending broker. This statement will be used solely to verify that the account and security information is correct. The statement will be destroyed as soon as the transfer is effected.**

**After completing the client section of this form, please forward a copy of the form with the attached delivery instructions to your current broker and a copy to Interactive Brokers.**

Interactive Brokers Account Information
---

Interactive Brokers Account Number \_\_\_\_\_ (ex: U01010)

Account Title \_\_\_\_\_ (ex: Joe Smith Trading Inc)

Account Type \_\_\_\_\_ (ex: single, joint, corporate, trust, etc)

Social Security Number or Tax ID Number on Account (only for US residents) \_\_\_\_\_

Account to be transferred to Interactive Brokers
--

Name of Bank/Broker Currently Holding Your Account \_\_\_\_\_

Address of Current Bank/Broker \_\_\_\_\_

City/State/Country \_\_\_\_\_

Name of Contact Person at Bank/Broker \_\_\_\_\_

Email Address \_\_\_\_\_

Account Owner Name(s) \_\_\_\_\_

Account Number \_\_\_\_\_

**Assets to be Transferred** - List description of positions. If more space is required to list all assets to be transferred please include an attachment to this form.

To be filled by Customer				Filled by Delivering Agent	
Symbol	Description of Asset	Quantity	ISIN	Settle Date	Depository
DCX	DAIMLER-CHRYSLER AG	5000	DE0007100000	29.10.04	CLEARSTREAM

Initials :

To BE FILLED BY CUSTOMER	FILLED BY DELIVERING AGENT
--------------------------	----------------------------

Symbol	Description of Asset	Quantity	ISIN	Settle Date	Depository

**Signatures** – Please read and sign this section

Please transfer all of the above listed assets to my account at Interactive Brokers.  
 I understand that this transfer is subject to the Terms and Conditions of the Customer Agreement signed with Interactive Brokers LLC and its affiliate companies.

X\_\_\_\_\_

\_\_\_\_\_

Customer Signature

Date

X\_\_\_\_\_

\_\_\_\_\_

Additional Signature (for Joint Account)

Date

PLEASE PROVIDE TO DELIVERING BROKER

## INTERACTIVE BROKERS DELIVERY INSTRUCTIONS

Please free deliver all assets for account transfers to Interactive Brokers to the accounts indicated below.

Please indicate "Account Transfer" with the related IB Account number in the delivery instructions.

Please direct any questions or concerns regarding these instructions to the Interactive Brokers Service Department, Clearing Team at: Phone + (41-41) 726-9500

### I –STOCKS, WARRANTS AND ETFs

#### UK

##### **CREST**

To/From Crest Account number: 6DKAV  
Account Holder: Interactive Brokers LLC

#### GERMANY

##### **Clearstream Banking Frankfurt – CBF**

To/From CBF Account: 8587  
Account Holder: Interactive Brokers LLC

#### FRANCE

##### **Euroclear Settlement of Euronext-zone Securities (ESES)**

To/From ESES: 611  
Account Holder: Euroclear Bank  
For further credit to Interactive Brokers LLC  
/EOC/10580/

#### BELGIUM

##### **Euroclear Settlement of Euronext-zone Securities (ESES)**

To/From ESES: 611  
Account Holder: Euroclear Bank  
For further credit to Interactive Brokers LLC  
/EOC/10580/

#### NETHERLANDS

##### **Euroclear Settlement of Euronext-zone Securities (ESES)**

To/From ESES: 611  
Account Holder: Euroclear Bank  
For further credit to Interactive Brokers LLC  
/EOC/10580/

#### AUSTRIA

##### **Österreichische Kontrollbank (OEBK)**

To/From OEBK Account: 212100  
Account Holder: Timber Hill IB-C  
For further credit to Interactive Brokers LLC  
BIC Code: TMBECH22XXX

**I –STOCKS, WARRANTS AND ETFs****SWITZERLAND*****Sega Intersectle - SIS***

To/From SIS Account: US100244

Account Holder: Interactive Brokers LLC

**SWEDEN*****VPC***

To/From SEB

BIC Code: ESSESESS

Account 1001-152-34

Account Holder: Timber Hill AG

BIC Code: TMBECH22XXX

**NORWAY*****VPS***

To/From SEB

BIC Code: ESSENOKXXXX

Account 097500097221

Account Holder: Interactive Brokers LLC

BIC Code: IBKRUS33XXX

**SPAIN*****Servicio de Compensación y Liquidación de Valores (SCLV)***

To/From SCLV

Bank: Citibank Madrid

BIC Code: CITIESMX

Account 6127362243

Account Holder: Interactive Brokers LLC

BIC Code: IBKRUS33XXX

**ITALY*****BVME***

To/From BNP Paribas Securities Services, Milan

BIC: PARBITMMXXX

Monte Titoli Account: 60460

BIC Code: IBKRUS33XXX

Securities Account: 830100 (Italian non-resident)

Securities Account: 830101 (Italian resident private)

Securities Account: 830102 (Italian resident organisations)

Account Holder: Interactive Brokers LLC

**USA (NON-ACAT ELIGIBLE)*****DTC***

To/From DTC Account: 534

Account Number: Uxxxxxx ( please quote client's account number)

BIC Code: IBKRUS33XXX

**I –STOCKS, WARRANTS AND ETFs****CANADA (NON-ATON ELIGIBLE)*****CDS (Canadian Depository System)***

To/From CDS Cuid IBCI

BIC: IBKRUS33XXX

Account Holder: Interactive Brokers LLC

**MEXICO*****SD INDEVAL***

To/From BBVA (Banco Bilbao Vizcaya Argentaria) Bancomer

BIC: BCMRMXMM

Account Number: 40019192

Account Holder: Interactive Brokers LLC

**HONG KONG*****Central Clearing and Settlement System (CCASS)***

To/From Interactive Brokers HK Ltd

BIC Code IBKRHHK

Participant ID: B01590

Account Holder: Interactive Brokers LLC

**JAPAN*****Japan Securities Depository Inc. (JASDEC)***

Name of custodian: CITIBANK JAPAN LTD.

SWIFT (BIC) code: CITIJPJT

JASDEC code: 0040180

Name of beneficiary: Interactive Brokers LLC

Beneficiary SWIFT (BIC) code: IBKRUS33

Client number: UIBLJP

**AUSTRALIA*****Australian Clearing House and Electronic Sub-register System (CHESS)***

To/From ABN AMRO Clearing Sydney Nominees

BIC Code: FCSPAU21XXX

Settlement CHESS PID: 01193

**SINGAPORE**

To/From ABN AMRO Clearing Bank N.V Singapore Branch

BIC code: FGCISGS1

Depository Agent code: 215

A/C: 5803

**II – DERIVATIVES CONTRACTS****FRANCE, BELGIUM OR THE NETHERLANDS****CLEARNET**

To/From

Clearnet Member Account 04149

For position account 70224

**UK****LIFFE/LCH**

To/From LIFFE

Clearing Member IBK

For account S

**GERMANY****EUREX**

To/From EUREX

Clearing Member THECX

For Account A1

**SPAIN****MEFF**

To/From MEFF

Clearing Member A144

Account Holder: New Edge

For further credit to L 200 0T185

**ITALY****IDEM**

To/From IDEM

Clearing Member 22520

Account Holder: BNP Paribas