

EmployeeTrack™

a complimentary service for

Compliance Officers

who are required to monitor
employee brokerage accounts

Free • Fully Electronic • Easy Sign-up and Use

EmployeeTrack - For Employee Compliance

Interactive Brokers' EmployeeTrackSM provides a complimentary, fully electronic, simple and cost-effective solution for organizations such as exchanges, hedge funds, mutual funds, brokers, banks, and insurance companies, who are required to review their employees' trading activity.

EmployeeTrack clients are provided with the following benefits:

- » Compliance Group electronic access to employee trade activity.
- » Custom feeds available for third party monitoring software.
- » Application invitations to employees with new accounts automatically linked.
- » Employee trading restrictions on specific symbols.
- » Automatic transmission of daily reports on all employee trading accounts held at IB directly to your legal or compliance department to ensure ongoing compliance with in-house and external reporting requirements.
- » Customer-specific report design that sends only the account data you request, such as trade confirmations, positions, interest and commissions, taxes, and fees.

Join the more than six hundred companies that have already signed-up for IB's Fully Electronic EmployeeTrack program

About Interactive Brokers

Interactive Brokers Group¹ has been developing trading technology for 36 years and our mission remains unchanged:

*“Create technology to provide liquidity on better terms.
Compete on price, speed, size, diversity of global products and advanced trading tools.”*

Interactive Brokers Group, Inc. was founded by its Chairman and CEO Thomas Peterffy. Since 1977, the group has grown internally to become one of the premier securities firms with equity capital exceeding \$5 billion.

Interactive Brokers Group conducts its broker/dealer and market making businesses on over 100 market destinations worldwide. In its broker dealer agency business, Interactive Brokers provides direct access trade execution and clearing services to institutional and professional traders for a wide variety of electronically traded products including stocks, options, futures, forex, bonds and funds worldwide. We are the largest US electronic broker based on daily average revenue trades executing 633,000 trades per day.²

Interactive Brokers Group maintains a global presence, with offices and affiliates in the United States, Australia, Canada, China, Estonia, Hong Kong, Hungary, India, Japan, Russia, Switzerland and the United Kingdom.



For more information or to open an account visit
www.interactivebrokers.com/et