Interactive Brokers

Addendum to Interactive Brokers (U.K.) Limited Customer Agreement for SIPP Account Holders

THIS ADDENDUM hereby modifies and supplements the Interactive Brokers (U.K.) Limited Customer Agreement.

- 1. Definitions: The following capitalized terms shall have the meanings set forth below:
 - a. "Scheme Rules" shall mean the trust deed and rules for the SIPP.
 - b. "SIPP" shall mean Customer's self invested personal pension.
 - c. "SIPP Laws" shall mean all applicable laws and regulations governing the SIPP.
 - d. "SIPP Providers" shall mean the entities and/or persons that act as the administrator, operator and trustee for Customer's SIPP.
- The Customer acknowledges that he/she is solely responsible for ensuring that he/she only engages in transactions and adheres to borrowing limits which are permitted under the SIPP Laws and the Scheme Rules.
- 3. The Customer agrees that Interactive Brokers (U.K.) Limited ("IB UK") and its affiliates shall not be responsible for monitoring, supervising or ensuring that the Customer's account or any transactions in connection with Customer's account conform to the SIPP Laws or the Scheme Rules.
- 4. To the maximum extent permitted by applicable laws, IB UK shall not be liable in any event for any direct, indirect, special or consequential loss or damages arising from the Customer's use of IB UK's services or otherwise arising or in connection with this addendum. The Customer agrees to defend, indemnify and hold IB UK, its affiliates, directors, officers, shareholders, employees and agents, harmless from and against any and all claims, actions, losses, damages, liabilities, obligations, judgments, costs, and expenses any of them may incur or be subjected to arising out of or in any way related to: (a) acts or omissions of the SIPP Providers, (b) Customer's failure to conform to the SIPP Laws or the Scheme Rules, or (c) Customer's breach of this Addendum. This paragraph shall survive any termination of the Interactive Brokers (U.K.) Limited Customer Agreement or this Addendum.
- 5. Customer acknowledges that the SIPP Providers are not affiliates, employees, or agents of IB UK.
- 6. Customer acknowledges that no employee of IB UK or its affiliates is authorized to provide advice regarding compliance with SIPP Laws or the Scheme Rules.
- 7. Customer acknowledges that Customer's account is subject to: (a) U.S. withholding tax on U.S. source dividends, and (b) U.S. tax reporting on Form 1042-S.

IN WITNESS WHEREOF, the Parties have caused this Addendum to be executed by their duly authorized representatives.

Customer Signature:

INTERACTIVE BROKERS (U.K.) LIMITED

Name: (Please Print) Title: Date: